

# Systematic Transfer Plan/ Systematic Withdrawal Plan (Form 3)



Distributor / RIA Code		Sub-Distributor Code		EUIN No.		Application No.		<b>WEB FORM</b>			
ARN- 106392 BLUECHIP STOCKS		ARN-		E 107715				Internal Code for Sub-broker/ Employee			
<b>EUIN Declaration</b>		I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction.				First Holder		Second Holder		Third Holder	
<b>RIA Declaration</b>		I/We hereby give you my/our consent to share/provide the transactions data feed/portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes managed by you, to the above mentioned SEBI-Registered Investment Adviser/RIA"				First Holder		Second Holder		Third Holder	

## 4.1 Existing Unit Holder Information

Name of the First Holder

Folio No.  PAN/PERN (mandatory)

Enclosed  PAN/PERN Proof  KYC Compliant  GSTIN

\*Aadhar No.  \*Compulsory  Please fill your GSTIN in the application form (if applicable).

KIN  KYC Identification Number

## 4.2 Systematic Transfer Plan (Please mention the PAN/PERN without which, this application form will be considered incomplete and is liable to be rejected.)

From Scheme

Plan  Option  Growth /  Dividend-Payout  Dividend - Reinvest

Dividend Frequency (In case of Dividend option)

To Scheme

Plan  Option  Growth /  Dividend-Payout  Dividend - Reinvest

Dividend Frequency (In case of Dividend option)

### 4.2.1. Dividend Sweep Option

(Applicable only for Dividend Re-invest option) To (Scheme & Plan Name)

<input type="checkbox"/> <b>Systematic Transfer Plan - Fixed</b> Transfer Frequency (Please tick (✓) any one of the below frequencies) <input type="checkbox"/> Daily <input type="checkbox"/> Weekly - Daywise (Transfer on every Monday of the month) <input type="checkbox"/> Weekly - Datewise (Transfer date will be 7 <sup>th</sup> /14 <sup>th</sup> /21 <sup>st</sup> / 28 <sup>th</sup> of the month) <input type="checkbox"/> Fortnightly (Transfer date will be 1 <sup>st</sup> /16 <sup>th</sup> of the month) <input type="checkbox"/> Monthly (Any day of the month except 29 <sup>th</sup> , 30 <sup>th</sup> & 31 <sup>st</sup> )	<input type="checkbox"/> <b>Systematic Transfer Plan - Capital Appreciation</b> Transfer Frequency (Please tick (✓) any one of the below frequencies) <input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual Any day of the month except 29 <sup>th</sup> , 30 <sup>th</sup> & 31 <sup>st</sup> .
Transfer Instalment ₹ <input type="text"/> ₹ in word <input type="text"/> STP Period Start <input type="text"/> End <input type="text"/> OR No. of Installments <input type="text"/>	

## 4.3 Systematic Withdrawal Plan (SWP)

(Please mention the PAN/PERN without which, this application form will be considered incomplete and is liable to be rejected.)

From Scheme

Plan  Option  Growth /  Dividend-Payout  Dividend - Reinvest

Dividend Frequency (In case of Dividend option)

SWP Period Start  End

Option#	Frequency	Date	Amount (Rs.)
<input type="checkbox"/> Fixed Amount*	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup>	
<input type="checkbox"/> Capital Appreciation*	<input type="checkbox"/> Monthly <input type="checkbox"/> Quarterly <input type="checkbox"/> Half Yearly <input type="checkbox"/> Annual	<input type="checkbox"/> 1 <sup>st</sup> <input type="checkbox"/> 10 <sup>th</sup> <input type="checkbox"/> 20 <sup>th</sup>	N. A.
	<input type="checkbox"/> Payout only in March	2 <sup>nd</sup> last business day - Debt and Liquid scheme 4 <sup>th</sup> last business day - Equity, Balanced & FOF	N. A.

#Please tick only one Option. \*Fixed Plan : Start date for Fixed Plan under SWP is the date from which the first withdrawal will begin. \*Capital Appreciation : Start date for Capital appreciation under SWP is the date from which capital appreciation, if any, will be calculated till the first withdrawal date.

4.4. Having read and understood the contents of the Statement of Additional Information (SAI) of IDFC Mutual Fund, Scheme Information Document (SID) and Key Information Memorandum (KIM) of the scheme(s) and the Addenda issued till date, I/we hereby apply for registration of Systematic Transfer Plan (STP) / Systematic Withdrawal Plan (SWP) as indicated above and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s) and the STP/SWP. I/We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the Taxation Laws, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws as applicable to me/us from time to time. I/We confirm that the funds invested in the Scheme(s), legally belong to me / us and I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I/We am/are eligible investor(s) as per the scheme related documents and am/are authorised to make this investment as per the Constitutive documents/ authorisation(s). I/We further confirm that I am not / we are not prohibited from accessing capital markets under any order/ruling/judgment etc., of any judicial or regulatory authority.

In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption subject to applicable exit load and undertake such other action with such funds that may be required by the Law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me / us.

For micro-investments only: I/We do not have any other existing investment in the schemes of IDFC Mutual Fund which together with this proposed investment will result in aggregate investments exceeding Rs.50,000/- in a year.

For NRIs / PIOs / FPIs only: I / We confirm that I am / we are Non Residents Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not United States persons within the meaning of Regulation (S) under the United States Securities Act of 1933, or as defined by the U.S. Commodity Futures Trading Commission, as amended from time to time or residents of Canada, and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines.

I/We hereby provide my/our consent to IDFC Asset Management Company Limited ("IDFCAMC") for (i) collecting, storing and usage; (ii) validating/authenticating with Unique Identification Authority of India ("UIDAI") by itself or through its Registrar and Transfer Agent ("RTA"); and (iii) downloading and updating my/our Aadhaar number(s) and associated demographic information (including any updated information) in my/our accounts/folios under IDFC Mutual Fund, SEBI registered Venture Capital Funds ("VCF") & Alternative Investment Funds ("AIF") managed by IDFCAMC and services offered by IDFCAMC under its SEBI registered Portfolio Management Services ("PMS") activities based on my/our Income Tax Permanent Account Number ("PAN") in accordance with the Aadhaar Act, 2016, Prevention of Money Laundering Act, 2002 and rules & regulations made thereunder and applicable SEBI guidelines.

I/We hereby further authorise IDFCAMC for sharing/disclosing of the Aadhaar number(s) and associated demographic information (including any updated information) by itself or through its RTA with its service providers such as custodians, bankers, brokers, depository participants, as well as the asset management companies of other SEBI registered mutual funds/VCF/AIF, SEBI registered portfolio managers, their RTAs, other SEBI registered intermediaries such as RTAs, custodians, depositories/depository participants, KYC Registration Agencies ("KRA's"), and Central KYC Registry for the purpose of updating the same in my/our accounts/folios based on my/our PAN in accordance with the Aadhaar Act, 2016, Prevention of Money Laundering Act, 2002 and rules & regulations made thereunder and applicable SEBI guidelines.

<input checked="" type="checkbox"/>	First / Sole Applicant / Guardian / Authorised Signatory
<input type="checkbox"/>	Second Applicant
<input type="checkbox"/>	Third Applicant
<input type="checkbox"/>	POA Holder