

# SIP REGISTRATION FORM & NACH/AUTO DEBIT MANDATE

Please read Product Labeling available on the front inside cover page of KIM and instructions before filling this form

**Sponsors:** The Investment Trust of India Limited and Fortune Credit Capital Limited  
**Trustee Company:** ITI Mutual Fund Trustee Private Limited

**Investment Manager:** ITI Asset Management Limited  
 Naman Midtown, 'A' Wing, 21st Floor, Senapati Bapat Marg  
 Prabhadevi, Mumbai 400 013 CIN: U67100MH2008PLC177677



New SIP Registration  Change in Bank Account (for SIP earlier registered)

Application No. \_\_\_\_\_

DISTRIBUTOR INFORMATION					FOR OFFICE USE ONLY	
Distributor Name & Code	Sub-Distributor Code	Internal Code for Sub-Broker/Employee	EUIN*	RIA Code	Registrar/Bank Serial No.	Date and Time of Receipt
ARN- 106392	ARN-		E-107715			

\*Investors should mention the EUIN of the person who has advised the investor. If left blank, the fund will assume following declaration by the investor.

"I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor and the distributor has not charged any advisory fees on this transaction."

Upfront commission shall be paid directly by the investor to the AMFI registered Distributors based on the investors' assessment of various factors including the service rendered by the distributor. For Direct investments, please mention 'Direct' in the column 'Name & Distributor Code'.

First/Sole Unit Holder/ Guardian	Second Unit Holder/Guardian	Third Unit Holder/Guardian
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## 1. UNITHOLDER INFORMATION

Folio No. \_\_\_\_\_ Application No. \_\_\_\_\_  
 1st/Sole Unit Holder Name \_\_\_\_\_

## 2. INVESTMENT DETAILS (Choice of Plan [Please ✓])

Scheme  I  T  I \_\_\_\_\_ Plan (Please ✓)  Regular  Direct  
 Option  Growth  Dividend-Reinvest  Dividend-Payout  
 Default Option will be Growth in case option not selected or in case of any ambiguity.  
 Dividend Frequency  Daily  Weekly  Fortnightly  Monthly  Annually  
 Default Frequency will be Daily, in case frequency not selected or in case of any ambiguity.  
 Dividend Frequency is not applicable for Equity Scheme.  
 Dividend Frequencies of Daily and Weekly are not applicable for Dividend-Payout.

Enrolment Period: From Date  M  M  Y  Y  Y  Y  Y To Date  M  M  Y  Y  Y  Y  Y OR Perpetual (99 years) (Default)

First SIP Instalment via: Cheque No. \_\_\_\_\_ Drawn on Bank and Branch \_\_\_\_\_

Amount: ₹ \_\_\_\_\_ A/c. No. \_\_\_\_\_

Each SIP Amount: ₹ \_\_\_\_\_ Amount in Words \_\_\_\_\_

Frequency (Please ✓)

<input type="radio"/> Daily (SIP) All Business Days	<input type="radio"/> Weekly (SIP) <input type="radio"/> 7th, <input type="radio"/> 14th, <input type="radio"/> 21st, <input type="radio"/> 28th of any month	<input type="radio"/> Monthly (SIP) <input type="radio"/> 1st <input type="radio"/> 7th, <input type="radio"/> 14th, <input type="radio"/> 21st, <input type="radio"/> 28th of any month
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I/We hereby authorize ITI Mutual Fund and their authorized service providers to debit my/our following bank account by NACH clearing/Auto Debit for collection of SIP payments. **Note:** Please allow 1 month for Auto Debit to register and start.

## 3. DECLARATION & SIGNATURE(S)

I/We declare that the particulars furnished here are correct. I/We authorize ITI Mutual Fund acting through its service providers to debit my/our bank account towards payment of SIP instalments through an Electronic Debit arrangement. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/we would not hold the user institution responsible. I/We will also inform ITI Mutual Fund about any changes in my bank account.

This is to inform you that I/We have registered for making payment towards my investments in ITI Mutual Fund by debit to my/our account directly or through NACH. I/We hereby authorize to honour such payments and have signed and endorsed the Mandate Form. Further, I authorize my representative (the bearer of this request) to get the above Mandate verified. Mandate verification charges, if any, may be charged to my/our account.

I also hereby agree to read the respective SID and SAI of the mutual fund before investing in any scheme of ITI Mutual Fund using this facility.

Date <input type="text"/> D <input type="text"/> D <input type="text"/> M <input type="text"/> M <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y <input type="text"/> Y	SIGNATURE(S) as per ITI Mutual Fund records		
	<input type="text"/> _____ Sole/First Unit Holder/Guardian	<input type="text"/> _____ Second Unit Holder	<input type="text"/> _____ Third Unit Holder



## DEBIT MANDATE FORM NACH

UMRN  F  O  R  O  F  F  I  C  E  U  S  E  O  N  L  Y Date  D  D  M  M  Y  Y  Y  Y

Tick (✓) Sponsor Bank Code \_\_\_\_\_ Utility Code \_\_\_\_\_

CREATE  MODIFY  CANCEL I/We hereby authorize **ITI MUTUAL FUND** to debit (tick ✓)  SB  CA  CC  SB-NRE  SB-NRO  Other

Bank a/c number \_\_\_\_\_

with Bank \_\_\_\_\_ Name of customers bank \_\_\_\_\_ IFSC \_\_\_\_\_ or MICR \_\_\_\_\_

an amount of Rupees \_\_\_\_\_ Amount in words \_\_\_\_\_ ₹ \_\_\_\_\_

FREQUENCY  Mthly  Qtly  H-Yrly  Yrly  As & when presented DEBIT TYPE  Fixed Amount  Maximum Amount

Reference/Folio No. \_\_\_\_\_ Phone No. \_\_\_\_\_

Scheme Name **ALL SCHEMES OF ITI MUTUAL FUND** Email ID \_\_\_\_\_

I agree for the debit of mandate processing charges by the bank whom I am authorizing to debit my account as per latest schedule of charges of the bank.

PERIOD From  D  D  M  M  Y  Y  Y  Y To  D  D  M  M  Y  Y  Y  Y Or  Until Cancelled

Signature Primary Account holder \_\_\_\_\_ Signature of Account holder \_\_\_\_\_ Signature of Account holder \_\_\_\_\_

1. Name as in bank records 2. Name as in bank records 3. Name as in bank records

This is to confirm that the declaration has been carefully read, understood and made by me/us. I am authorizing the User entity/ Corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel / amend this mandate by appropriately communicating the cancellation / amendment request to the User entity / corporate of the bank where I have authorized the debit.

## TERMS AND CONDITIONS

1. Please refer SID for minimum SIP investment amount under each Scheme.
2. Please refer the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the respective Scheme for applicable NAV, risk factors, load (exit/entry) and other information on the respective Scheme before investing.
3. Complete Application form and SIP Enrollment Form along with the first cheque should be submitted to the AMC/Karvy ISC's.
4. Investors should mandatorily give a cheque for the first Installment. The first cheque should be drawn on the same bank account which is to be registered for NACH/Auto Debit. Alternatively, the cheque may be drawn on any bank, for which investor should provide a photocopy of the cheque or cancelled cheque of the bank/branch for which NACH/Auto Debit is to be registered.
5. First SIP cheque and subsequent SIP installments via NACH/Auto Debit should be of the same amount.
6. If any chosen day falls on a non business day, the next business day will be considered as the transaction date.
7. Incorrect/Incomplete applications are liable to be rejected.
8. ITI Asset Management Ltd. reserves the right to reject any application without assigning any reason thereof and the Trustee reserves the right to change/modify the terms and conditions of SIP.
9. NACH/Auto Debit instructions will take a minimum of one month for registration with the bank and hence the first debit will be carried out only after one month, on the SIP date mentioned on the form. The AMC reserves the right to modify the SIP period depending on the one month period for registration to ensure minimum number of installments as mentioned in SID.
10. The mandate registration form will be submitted through National Automated Clearing House (NACH) and Banks participating in Direct Debit Facility. This facility is offered to investors having Bank accounts in select banks mentioned in the link under Product and Services tab The Banks <http://www.npci.org.in/> in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of National Payments Corporation of India without assigning any reasons or prior notice. Standing instructions for investors in such Banks will be discontinued. We will inform you on such discontinuation.
11. Auto Debit facility will be offered only to investors having bank account with banks offering Auto Debit Facility.
12. In case investors bank account is available under NACH as well Auto Debit Facility; registration will be done through NACH platform.
13. Existing Unit holders in Scheme(s) of ITI Mutual Fund are required to submit only the SIP NACH/Auto Debit Mandate. Existing unit holders should note that the unit holder's details & the mode of holding will be as per the existing account. New investors, who wish to enroll for SIP through NACH/Auto Debit, should fill the Common Application Form & SIP NACH/Auto Debit Mandate.
14. Initial cheque should be drawn on any bank, which is situated at & is a member of the Banker's Clearing House located at the place where the SIP application is submitted or payable at par & should participate in local MICR clearing. Please contact the nearest designated Investor Service Centre for the updated list. For outstation applications, the initial DD has to be payable at the nearest AMC locations. No outstation cheques will be accepted. The cheque should be drawn in favor of the Scheme chosen (e.g. Pay \*\*\*\*\* [Scheme name] & crossed "A/c Payee Only".
15. Payments will be accepted by NACH/Auto Debit mode. For this purpose, investors/unit holders are required to give NACH/Auto Debit Mandate Form to debit their bank accounts at periodic intervals & credit the subscription proceeds to ITI Mutual Fund Bank Account.
16. Returned/Dishonored cheque/NACH/Auto Debit Rejects will not be presented again for collection. If the 1st installment cheque is dishonored, the SIP processing/registration will be rejected.
17. The SIP Enrollment will be discontinued in cases where three consecutive SIP installments are not honored or the bank account is closed and no request for change in bank account has been submitted.
18. If investor has not provided the SIP frequency/period/date, the default SIP frequency would be Monthly & the SIP installments would be the minimum number of installments of the respective Schemes as specified in the SID. The default date will be considered as 7th of the month for Monthly option.
19. If an Existing investor wants to enroll in another Scheme & continue for the existing folio, then the investor has to submit a duly filled & signed SIP NACH/Auto Debit Mandate along with the first cheque (if investment is in the new Scheme).
20. You can choose to discontinue this facility by giving 30 Calendar days written notice to any of AMC/Registrar Investor Service centers.
21. Request for change in bank mandate to be submitted atleast 30 Calendar days before the due date of next SIP installment.
22. The bank account provided for NACH (Debit) should be in the list of banks participating in NACH.
23. MICR code or IFSC code should be mandatory filled on NACH mandate, MICR code starting and/or ending with 000 are not valid for NACH.
24. The investor agrees to abide by the terms and conditions of NACH facility of NPCI & Auto Debit as applicable at the time of investment and as may be modified from time to time.
25. The investor undertakes to keep sufficient funds in the account till the date of execution of the debit. The investor hereby declares that the particulars given overleaf are correct and complete. If the date of debit to the investors account happens to be a non Business day as per the fund, execution of the debit will not happen on the day of the holiday and allotment of Units will happen as per the terms and conditions listed in the concerned SID. The Fund, its Registrars, Auto Debit Banks and other service providers shall not be liable for, nor be in default by reason of, any failure or delay in completion of its obligation under this agreement, where such failure or delay is caused, in whole or in part, by any acts of God, civil war, civil commotion, riots, strike, mutiny, revolution, fire, flood, fog, war, change of government policies, unavailability of banks computer system, force majeure events or any other cause of peril which is beyond their reasonable control and which has the effect of preventing the performance of contract by them.
26. Investors will not hold ITI Asset Management Ltd., its registrars, banks and other service providers responsible if the transaction is delayed or not effected or the investor's bank account is debited in advance or after the specific SIP date due to the local holidays or any other reason.
27. ITI Asset Management Ltd. reserves the right to reject any application without assigning any reason thereof.
28. Incorrect, incomplete or ambiguous forms will not be accepted and will be returned to the investor within 10 business days via normal post.
29. To avail of SIP in separate Schemes via NACH/Auto Debit facility, an investor will have to fill a separate form for each Scheme. A single form cannot be used for different Schemes simultaneously.
30. As per Prevention of Money Laundering Act 2002, it is mandatory for all investors to be KYC compliant. For more details please refer point on "Instructions to Investor" for Filling up the Application Form.
31. **Employee Unique Identification Number (EJIN):**  
SEBI has made it compulsory for every employee/relationship manager/sales person of the distributor of Mutual Fund products to quote the EJIN obtained by him/her from AMFI in the Application Form. EJIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EJIN in the Application Form. Hence, if your investments are routed through a distributor please ensure that the EJIN is correctly filled up in the Application Form. However, if your distributor has not given you any advice pertaining to the investment, the EJIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EJIN of the Sales Person (if any) in the EJIN space.

## INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

1. Mobile Number and Email Id: Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form. Where the mobile number and email id mentioned on the mandate form differs from the ones as already existing in the folio, the details provided on the mandate will be updated in the folio. All future communication whatsoever would be, thereafter, sent to the updated mobile number and email id.
2. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre-printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque/bank account details are subject to third party verification.
3. Investors are deemed to have read and understood the terms and conditions of NACH/AUTO DEBIT Facility, SIP registration through NACH/AUTO DEBIT facility, the Scheme Information Document, Statement of Additional Information, Key Information Memorandum, Instructions and Addendum issued from time to time of the respective Scheme(s) of ITI Mutual Fund.
4. Date and the validity of the mandate should be mentioned in DD/MM/YYYY format
5. Please mention the amount in figures and words.
6. Please fill all the required details in the Debit Mandate Form for NACH/Auto Debit. The sole/first holder must be one of the holders in the bank account.
7. The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.
8. The 9 digit MICR and the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You should find these codes on your cheque leaf.

**Toll Free Number:**  
1800-266-9603

**Non Toll Free Number:**  
022-66214999

**Email:**  
mfassist@itiorg.com

**Website:**  
www.itimf.com

**Mutual Fund investments are subject to market risks, read all scheme related documents carefully.**