

Please read instructions before filling the Form

Application No :

**Key Partner / Agent Information**

|  |                              |                                   |   |                     |
|--|------------------------------|-----------------------------------|---|---------------------|
| Distributor / Broker ARN<br><b>BLUE CHIP STOCKS</b><br><b>ARN - 106392</b> | Sub-Broker ARN Code<br>ARN - | Internal Sub-Broker/Employee Code | Employee Unique Identification No. (EUIIN)<br>(Of Individual Relationship Manager / Sales Person of the Distributor)<br><b>EUIIN 107715</b> | For Office Use Only |
|--|------------------------------|-----------------------------------|---|---------------------|

I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker. (Refer Instruction no.1(f)).

|  |                               |                              |
|--|-------------------------------|------------------------------|
| Sign Here<br>Sole/First Applicant/Guardian | Sign Here<br>Second Applicant | Sign Here<br>Third Applicant |
|--|-------------------------------|------------------------------|

Upfront commission, if any, shall be paid directly by the investor to the AMFI registered distributors based on the investors' assessment of various factors, including the service rendered by the distributor.

**Existing Unitholder** : Pl. fill in Folio Number below and then proceed to section 2.

Folio Number

**New Unitholder**

**Transaction Charges** (Please tick any one of the below. For details refer point no. 9 on Page No.14)

I am a first time investor in Mutual Funds /  
 I am an existing investor in Mutual Funds (Default)

- Country of Birth / Citizenship / Nationality or Tax Residency, other than India, for any applicant? (✓):**  Yes /  No (Mandatory to ✓). If yes, please fill FATCA declaration.
- Non Individual investors should mandatorily fill separate FATCA & UBO declarations**

Name of Sole / First Unitholder

| 1. Applicant's Details   | Name (as per KYC)  | PAN/KRN <sup>1</sup>   | Date of Birth <sup>2</sup>   |
|--|--|--|--|
| First/Sole   | Mr. / Ms. / M/s.<br>City of Birth  | <input type="text"/>   | <input type="text"/> Enclosed (please ✓) <input type="checkbox"/> KYC Proof <sup>3</sup> |
| Second   | No joint holder where minor is first holder<br>City of Birth   | <input type="text"/>   | <input type="text"/> Enclosed (please ✓) <input type="checkbox"/> KYC Proof <sup>3</sup> |
| Third  | No joint holder where minor is first holder<br>City of Birth   | <input type="text"/>   | <input type="text"/> Enclosed (please ✓) <input type="checkbox"/> KYC Proof <sup>3</sup> |
| Guardian/<br>Contact Person  | (if Sole / First applicant is a Minor) Contact Person (in case of Non-individual Investors only)<br>Relation <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court appointed Guardian | <input type="text"/>   | <input type="text"/> Enclosed (please ✓) <input type="checkbox"/> KYC Proof <sup>3</sup> |
| POA<br>Holder  | (If the investment is being made by a Constituted Attorney, please furnish the details of POA Holder)  | <input type="text"/>   | <input type="text"/> Enclosed (please ✓) <input type="checkbox"/> KYC Proof <sup>3</sup> |
| Mailing Address: (Address should be as per KYC records, refer Instruction no. 14b) |  | Overseas Address: (Mandatory in case of NRI / FII / FPI applicant)   |  |
| <input type="text"/>   |  | <input type="text"/>   |  |
| <input type="text"/>   |  | <input type="text"/>   |  |
| City   | PIN  | City   | State/Province   |
| State  |  | Country  | PIN  |
| Tel. No. (Residence) <input type="text"/> Tel. No. (Office) <input type="text"/>   |  | Status (✓)   |  |
| Mobile <input type="text"/>  |  | <input type="checkbox"/> Individual <input type="checkbox"/> Minor <input type="checkbox"/> Minor-NRI Repatriable <input type="checkbox"/> Minor-NRI Non-Repatriable<br><input type="checkbox"/> HUF <input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> Partnership<br><input type="checkbox"/> LLP <input type="checkbox"/> Listed Co. <input type="checkbox"/> Unlisted Co. <input type="checkbox"/> Body Corporate<br><input type="checkbox"/> Society/Club <input type="checkbox"/> Trust <input type="checkbox"/> FII <input type="checkbox"/> FPI<br><input type="checkbox"/> AOP <input type="checkbox"/> Co. U/S 25/8 of Companies Act <input type="checkbox"/> Others |  |
| E-mail <input type="text"/>  |  | In case of Non-Profit Entity (please ✓) <input type="checkbox"/>   |  |

**Mode of Holding** (Only for non-demat mode) (✓)  Single  Joint  Anyone or Survivor (Default)

**2. KYC Details Mandatory (✓)**

|                          |            |  |  |  |   |
|--------------------------|------------|--|--|--|---|
| Gross Annual Income      | First/Sole | <input type="checkbox"/> Below 1 Lac<br><input type="checkbox"/> 10-25 Lacs  | <input type="checkbox"/> 1-5 Lacs (Default)<br><input type="checkbox"/> 25 Lacs - 1 Crore  | <input type="checkbox"/> 5-10 Lacs<br><input type="checkbox"/> > 1 Crore   | Net-worth <sup>4</sup> <input type="text"/> in ₹ as on <input type="text"/> (Not older than 1 year) (Mandatory for Non-individuals) |
|                          | Second     | <input type="checkbox"/> Below 1 Lac<br><input type="checkbox"/> 10-25 Lacs  | <input type="checkbox"/> 1-5 Lacs (Default)<br><input type="checkbox"/> 25 Lacs - 1 Crore  | <input type="checkbox"/> 5-10 Lacs<br><input type="checkbox"/> > 1 Crore   | Net-worth <sup>4</sup> <input type="text"/> in ₹ as on <input type="text"/> (Not older than 1 year)                                 |
|                          | Third      | <input type="checkbox"/> Below 1 Lac<br><input type="checkbox"/> 10-25 Lacs  | <input type="checkbox"/> 1-5 Lacs (Default)<br><input type="checkbox"/> 25 Lacs - 1 Crore  | <input type="checkbox"/> 5-10 Lacs<br><input type="checkbox"/> > 1 Crore   | Net-worth <sup>4</sup> <input type="text"/> in ₹ as on <input type="text"/> (Not older than 1 year)                                 |
| Occupation Details       | First/Sole | <input type="checkbox"/> Private Service<br><input type="checkbox"/> Retired | <input type="checkbox"/> Public Sector / Govt. Service<br><input type="checkbox"/> Student | <input type="checkbox"/> Business<br><input type="checkbox"/> Forex Dealer | <input type="checkbox"/> Professional<br><input type="checkbox"/> Agriculturist   |
|                          | Second     | <input type="checkbox"/> Private Service<br><input type="checkbox"/> Retired | <input type="checkbox"/> Public Sector / Govt. Service<br><input type="checkbox"/> Student | <input type="checkbox"/> Business<br><input type="checkbox"/> Forex Dealer | <input type="checkbox"/> Professional<br><input type="checkbox"/> Agriculturist   |
|                          | Third      | <input type="checkbox"/> Private Service<br><input type="checkbox"/> Retired | <input type="checkbox"/> Public Sector / Govt. Service<br><input type="checkbox"/> Student | <input type="checkbox"/> Business<br><input type="checkbox"/> Forex Dealer | <input type="checkbox"/> Professional<br><input type="checkbox"/> Agriculturist   |
| Others (For individuals) | First/Sole | <input type="checkbox"/> Politically Exposed Person                          | <input type="checkbox"/> Related to Politically Exposed Person                             | <input type="checkbox"/> Not Applicable                                    |   |
|                          | Second     | <input type="checkbox"/> Politically Exposed Person                          | <input type="checkbox"/> Related to Politically Exposed Person                             | <input type="checkbox"/> Not Applicable                                    |   |
|                          | Third      | <input type="checkbox"/> Politically Exposed Person                          | <input type="checkbox"/> Related to Politically Exposed Person                             | <input type="checkbox"/> Not Applicable                                    |   |

Others (For Non-individuals) Is the entity involved in any of the following services  
(i) Foreign Exchange/Money Changer Services  Yes  No (ii) Gaming/Gambling/Lottery/Casino Services/Betting Syndicates  Yes  No (iii) Money Lending/Pawning  Yes  No

**3. Investment Details** (Cheque / DD should be drawn in favour of the Scheme. Investors applying under direct plan must mention "Direct" in the box provided below.) Refer Scheme Ready Reckoner

| Scheme   | Religare Invesco | Scheme Name | Plan | Option | Dividend Frequency <sup>5</sup> |
|----------|------------------|-------------|------|--------|---------------------------------|
| Scheme 1 | Religare Invesco | Scheme Name | Plan | Option | Dividend Frequency <sup>5</sup> |
| Scheme 2 | Religare Invesco | Scheme Name | Plan | Option | Dividend Frequency <sup>5</sup> |
| Scheme 3 | Religare Invesco | Scheme Name | Plan | Option | Dividend Frequency <sup>5</sup> |

<sup>1</sup> PAN/KRN (Refer Instruction no. 3), <sup>2</sup> Mandatory in case of Minor, additionally refer Instruction no. 2, <sup>3</sup> KYC & <sup>4</sup> Networth (Refer Instruction no. 14), <sup>5</sup> Not applicable in Growth option

**Acknowledgement Slip** (To be filled by the Applicant)

Application No :

Received from  Date

Towards Subscription under below Schemes

|          |                  |             |            |               |
|----------|------------------|-------------|------------|---------------|
| Scheme 1 | Religare Invesco | Scheme Name | Amount (₹) | Cheque/DD No. |
| Scheme 2 | Religare Invesco | Scheme Name | Amount (₹) | Cheque/DD No. |
| Scheme 3 | Religare Invesco | Scheme Name | Amount (₹) | Cheque/DD No. |

Signature, Stamp & Date

**Payment Details** (Attach separate cheques for each Scheme. Refer instruction no. 5a) (For Cash, refer instruction no. 8)

| Scheme  | Investment Amt. (Rs) | Net Amt. (Rs)  | Cheque/DD No. | Bank Name | A/c. No. |
|---|----------------------|--|---------------|-----------|----------|
| 1   |                      | Net of DD Charges  |               |           |          |
| Mode of Payment (✓) <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Funds Transfer <input type="checkbox"/> Cash |                      | Account Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> SNRR <input type="checkbox"/> Others |               |           |          |
| 2   |                      | Net of DD Charges  |               |           |          |
| Mode of Payment (✓) <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Funds Transfer <input type="checkbox"/> Cash |                      | Account Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> SNRR <input type="checkbox"/> Others |               |           |          |
| 3   |                      | Net of DD Charges  |               |           |          |
| Mode of Payment (✓) <input type="checkbox"/> Cheque <input type="checkbox"/> DD <input type="checkbox"/> Funds Transfer <input type="checkbox"/> Cash |                      | Account Type (✓) <input type="checkbox"/> Current <input type="checkbox"/> Savings <input type="checkbox"/> NRE <input type="checkbox"/> NRO <input type="checkbox"/> FCNR <input type="checkbox"/> SNRR <input type="checkbox"/> Others |               |           |          |

Applicable in case of Third Party Payment: Payment on behalf of (✓)  Minor  Client  Employee  Distributor (Refer instruction no. 7). PAN/KRN <sup>1</sup> \_\_\_\_\_

Name of the person making payment \_\_\_\_\_ Enclosed (✓)  KYC Proof<sup>3</sup> \_\_\_\_\_

**4. For SIP / Micro SIP**

Refer instruction no. 6 & 7

SIP  Micro SIP (For SIP through Auto-Debit (ECS / Direct Debit/NACH) please fill respective SIP registration cum mandate form)

**First SIP Installment Cheque Details**

Amount \_\_\_\_\_ Dated **DD MM YYYY** Drawn on Bank \_\_\_\_\_ Branch \_\_\_\_\_

SIP through Post Dated Cheques (Use CTS (Cheque Truncation System) Cheques only) Applicable in case of Third Party Payment:  Minor  Client  Employee  Distributor

Period From **MM YYYY** To **MM YYYY** Payment on behalf of (✓) \_\_\_\_\_

Cheque Nos. From \_\_\_\_\_ To \_\_\_\_\_ Enclosed (✓)  KYC Proof<sup>3</sup> PAN / KRN \_\_\_\_\_

Frequency (✓)  Monthly (Default) or  Quarterly SIP Date (✓)  3<sup>rd</sup>  10<sup>th</sup>  15<sup>th</sup> (Default)  20<sup>th</sup>  25<sup>th</sup>

**5. Demat Account Details**

Optional, Refer instruction no. 12

DP ID # \_\_\_\_\_ Beneficiary Account No. \_\_\_\_\_ DP Name \_\_\_\_\_ (✓)  NSDL  CDSL

(# Not applicable in case of CDSL). The details of the Bank Account linked with the Demat A/c as mentioned below should be provided under section 5.

**6. Bank Account Details (Mandatory As Per SEBI Guidelines)**

Refer instruction no. 4

Account No. \_\_\_\_\_ Account Type (✓)  Current  Savings  NRE  NRO  FCNR  SNRR  Others \_\_\_\_\_

Bank Name \_\_\_\_\_ Branch \_\_\_\_\_

City \_\_\_\_\_ Address \_\_\_\_\_

MICR Code \_\_\_\_\_ NEFT/RTGS/IFSC Code \_\_\_\_\_ PIN \_\_\_\_\_

(9 digit No. next to your Cheque No.) (11 digit character code appearing on cheque leaf)

Please provide a cancelled cheque leaf of the same bank account as mentioned above. We will credit the redemption/dividend proceeds directly into investors' account through electronic means if the details provided by the investors are sufficient for the same. Mentioning your IFSC will help us transfer the amount to your bank account faster. To receive cheque payout, (✓) . If you have provided multiple bank registration form (✓) . Unit holders who have opted to hold Units in dematerialised form must provide Bank Account details linked with the Demat account, as mentioned under section 4. In case of discrepancy, bank details as per depository records will be final.




**7. Nomination Details (Mandatory for investors who opt to hold units in non-demat form.)**

Refer Instruction no. 11

| Name                                   | Date of Birth (for minor) | % Share                              | Relationship | PAN             |
|--|---------------------------|--------------------------------------|--------------|-----------------|
| Nominee 1                              | <b>DD MM YYYY</b>         |                                      |              |                 |
| Nominee 2                              | <b>DD MM YYYY</b>         |                                      |              |                 |
| Nominee 3                              | <b>DD MM YYYY</b>         |                                      |              |                 |
| Name of Guardian (If Nominee is Minor) |                           | Guardian's Relation (with the minor) |              | PAN of Guardian |
| Address _____                          |                           |                                      |              |                 |

I do not intend to nominate (✓ the box, in case you do not wish to nominate)

**8. Declaration & Signature(s)**

|   |   |   |
|---|---|---|
| <p>The Trustees, Religare Invesco Mutual Fund Having read and understood the contents of the Statement of Additional Information / Scheme Information Document(s) of the respective schemes, I / We hereby apply to the Trustees of Religare Invesco Mutual Fund for units of the Scheme / Option as indicated above and agree to abide by the terms, conditions, rules and regulations of the Scheme. I / We have understood the details of the Scheme and I / We have not received nor have been induced by any rebate or gifts, directly or indirectly, in making this investment. I / We do not have any existing Micro Investments which together with the current Micro Investment application will result in aggregate investments exceeding Rs. 50,000/- in a year (applicable to Micro Investment investors only). The Distributor has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. I / We hereby authorise Religare Invesco Mutual Fund, its Investment Manager and its Agents to disclose details of my / our investment to my / our bank(s) / Religare Invesco Mutual Fund's Bank(s) and / or Distributor / Broker/ Investment Advisor and to verify my/ our bank details provided by me / us. I / We hereby declare that the particulars given above are correct. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I / We would not hold Religare Invesco Asset Management Company Pvt. Ltd. (Investment Manager to Religare Invesco Mutual Fund), their appointed service providers or representatives responsible. I / We will also inform Religare Invesco Asset Management Company Pvt. Ltd., about any changes in my/ our bank account. I / We hereby declare that the amount being invested by me / us in the Scheme of Religare Invesco Mutual Fund is derived through legitimate sources and is not held or designed for the purpose of contravention of any Act, Rules, Regulations or any statute or legislation or any other applicable laws or any Notifications, Directions issued by any governmental or statutory authority from time to time.</p> <p>I / We confirm that I / We are not United States person(s) under the laws of United States or residents(s) of Canada as defined under the applicable laws of Canada.</p> <p><b>Applicable to KRN holders :</b> I, the first / sole holder hereby declare that I do not hold a Permanent Account Number and hold only a single PAN exempt KRN issued by KRA and that my existing investment in schemes of Religare Invesco Mutual Fund together with current application will not result in aggregate investments exceeding Rs. 50,000/- in a rolling 12 months period or in a financial year i.e. April to March.</p> <p><b>Applicable to NRIs only :</b> I / We confirm that I am / we are Non-Residents of Indian Nationality / Origin and that the funds are remitted from abroad through approved banking channels or from my / our NRE / NRO / FCNR/ SNRR Account. I / We confirm that the details provided by me / us are true and correct.</p> <p>(✓) Yes <input type="checkbox"/> No <input type="checkbox"/> If NRI (✓) <input type="checkbox"/> Repatriation basis <input type="checkbox"/> Non-Repatriation basis</p> <p>Date <b>DD MM YYYY</b> Place _____</p> | <p>Sole / First Applicant / Guardian / POA</p> <p>Second Applicant / POA</p> <p>Third Applicant / POA</p> | <br><br> |
|---|---|---|

**GET IN TOUCH**

**Religare Invesco Mutual Fund**

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